

**TRANSMITTAL LETTER**  
**(General - Patent Pending)**

Docket No.  
69010-263

In Re: Application Of: **KOVACH, ET AL.**

Serial No.  
10/617,003

Filing Date  
07/10/03

Examiner  
Unassigned

Group Art Unit  
3712

Title: **CONTROL FOR OPERATING FEATURES OF A MODEL VEHICLE**

TO THE COMMISSIONER FOR PATENTS:

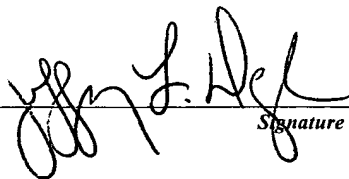
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Statement Under 37 CFR 3.73(b)  
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in the above identified application.

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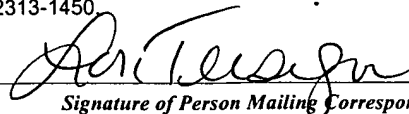
04-2223

  
Signature

Jeffrey L. Doyle  
Reg. No. 54,074  
Dykema Gossett PLLC  
Suite 300  
39577 Woodward Avenue  
Bloomfield Hills, Michigan 48304  
(248) 203-0700

Dated: 2/10/04

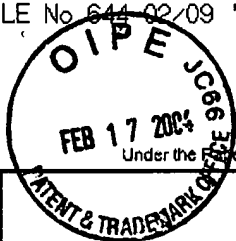
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Signature of Person Mailing Correspondence

Lori Tersigni

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### STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Kovach, et al.

Application No./Patent No.: 10/617,003

Filed/Issue Date: 07/10/03

Entitled: **CONTROL FOR OPERATING FEATURES OF A MODEL VEHICLE**

Lionel, L.L.C.

(Name of Assignee)

a Limited Liability Company

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
 The extent (by, percentage) of its ownership interest is \_\_\_\_\_ %  
 in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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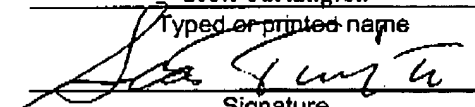
☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

(NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08)

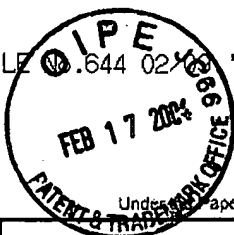
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

2-9-04  
 Date  
586 949 4100  
 Telephone number

Scott Turkington  
 Typed or printed name  
  
 Signature  
Chief Financial Officer  
 Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETE D FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Application No./Patent No.: 10/617,003 Filed/Issue Date: 07/10/03

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Lionel, L.L.C.

(Name of Assignee)

a Limited Liability Company

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is \_\_\_\_\_ %  
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OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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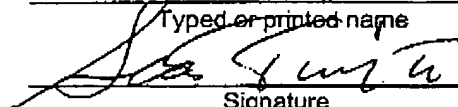
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586 949 4100  
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Scott Turkington  
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Chief Financial Officer  
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To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Louis Kovach  
James Rohde  
Neil Young

## 2. Name and address of receiving party(ies):

Name: Lionel L.L.C.

Internal Address: \_\_\_\_\_

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other \_\_\_\_\_Street Address: 50625 Richard W. Blvd.City: Chesterfield State: MI ZIP: 48051Execution Date: 1/9/04, 2/3/04, 1/29/04Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

10/617,003

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jeffrey L. DoyleInternal Address: Dykema Gossett PLLCStreet Address: 39577 Woodward Avenue, Suite 300City: Bloomfield Hills State: MI ZIP: 48304

## 6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

## 8. Deposit account number:

04-2223

(Attach duplicate copy of this page if paying by deposit account)

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## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Jeffrey L. Doyle

Name of Person Signing

Signature

2/10/04

Date

Total number of pages including cover sheet, attachments, and document: 7

**COPY**

**ASSIGNMENT  
(Joint Inventors)**

WHEREAS we, Louis Kovach, James Rohde and Neil Young, (hereinafter referred to as Assignors), residing respectively at 43774 Bemis, Belleville, Michigan 48111, 816 Manzano, Walled Lake, Michigan 48390, and 3240 Bear Gulch Road, Redwood City, California, 94062 have invented new and useful improvements in:

**CONTROL FOR OPERATING FEATURES OF A MODEL TRAIN**

which is set forth in United States Patent Application Serial No. 10/617,003 filed on July 10, 2003, which claims the benefit of U.S. Provisional Application Serial No. 60/394,550 filed on July 10, 2002.;


AND WHEREAS, Lionel L.L.C. (hereinafter referred to as Assignee), of 50625 Richard W. Blvd., Chesterfield, MI 48051, is desirous of acquiring the entire right, title, and interest in and to said invention and said application for Letters Patent of the United States, and in and to Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

NOW THEREFORE, be it known by all whom it may concern, that for and in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt and adequacy of which are hereby acknowledged, the Assignors have assigned, sold and set over, and by these presents assign, sell and set over unto the Assignee, its successors, legal representatives and assigns, for the territory of the United States of America and all foreign countries, the entire right, title and interest in and to said invention, said application for Letters Patent, including the right to file foreign patent applications corresponding to said application, and the right to claim the date of said application for Letters Patent and any legal equivalents thereof, and any and all Letters Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and to any and all divisions, continuations, and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents prepared and executed by Assignors on even date herewith, the same to be held and enjoyed by the Assignee, as fully and entirely as the same would have been held by the Assignors had this Assignment and sale not been made.

AND the Assignors hereby covenant and agree that the Assignors will at any time upon the request and at the expense of the Assignee execute and deliver any and all papers and do all lawful acts that may be necessary or desirable to perfect the title to said invention and to obtain Letters Patent therefor, and the Assignors hereby authorize and request the Commissioner of Patents to issue said Letters Patent to said Assignee in accordance with this Agreement.

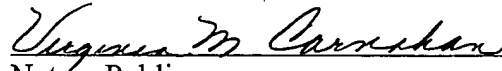
Atty. Dkt. No.: 69,010-263  
Serial No. 10/617,003

IN TESTIMONY WHEREOF, I hereunto set my hand and affix my seal on this  
9<sup>th</sup> day of JANUARY, 2004.

  
\_\_\_\_\_  
Louis Kovach

STATE OF MICHIGAN )  
 )  
COUNTY OF WAYNE )

Before me personally appeared said LOUIS KOVACH and  
acknowledged the foregoing instrument to be his own free act and deed, the 9<sup>th</sup> of  
JANUARY, 2004.

  
\_\_\_\_\_  
Notary Public

SEAL

**VIRGINIA M. CARNAHAN**  
Notary Public, Wayne County, MI  
My Commission Expires May 24, 2004

IN TESTIMONY WHEREOF, I hereunto set my hand and affix my seal on this  
\_\_\_\_ day of \_\_\_\_\_, 2004.

\_\_\_\_\_  
James Rohde

STATE OF )  
 )  
COUNTY OF )

Before me personally appeared said \_\_\_\_\_ and  
acknowledged the foregoing instrument to be his own free act and deed, the \_\_\_\_\_ of  
\_\_\_\_\_, 2004.

\_\_\_\_\_  
Notary Public

SEAL

IN TESTIMONY WHEREOF, I hereunto set my hand and affix my seal on this  
3 day of FEB, 2004.

\_\_\_\_\_  
Louis Kovach

STATE OF \_\_\_\_\_ )  
COUNTY OF \_\_\_\_\_ )

Before me personally appeared said \_\_\_\_\_ and  
acknowledged the foregoing instrument to be his own free act and deed, the \_\_\_\_\_ of  
\_\_\_\_\_, 2004.

\_\_\_\_\_  
Notary Public

SEAL

IN TESTIMONY WHEREOF, I hereunto set my hand and affix my seal on this  
3 day of FEB, 2004.

James Rohde  
James Rohde

STATE OF Michigan )  
COUNTY OF Macomb )

Before me personally appeared said James Rohde and  
acknowledged the foregoing instrument to be his own free act and deed, the 3rd of  
February, 2004.

Marilyn R. Kryscynski  
Notary Public

SEAL

MARILYN R. KRYSZYNSKI  
NOTARY PUBLIC MACOMB CO., MI  
MY COMMISSION EXPIRES Jun 26, 2007

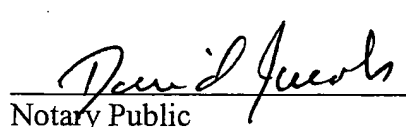
IN TESTIMONY WHEREOF, I hereunto set my hand and affix my seal on this  
29 day of January, 2004.

  
\_\_\_\_\_  
Neil Young

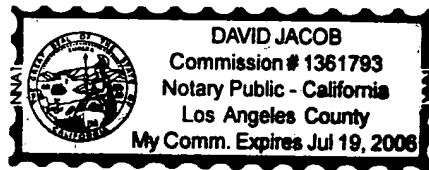
STATE OF )

COUNTY OF )

Before me personally appeared said Neil Young and  
acknowledged the foregoing instrument to be his own free act and deed, the 29 of  
January, 2004.

  
\_\_\_\_\_  
Notary Public

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